

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: LEPA121822

TRANSMITTAL LETTER

Seattle, Washington 98101

October 28, 2003

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) by Express Mail is the complete patent application of Takayuki Sasaoka and Noriyoshi Takeya, entitled OPTICAL PICKUP UNIT AND INFORMATION RECORDING AND REPRODUCING APPARATUS, executed on October 20, 2003.

- X 1. An application consisting of 24 pages of specification, including the claims and the Abstract of the Disclosure, and 8 sheets of drawings is attached.
- X 2. A newly executed Combined Declaration and Power of Attorney is attached.
- X 3. An Assignment of the invention to Samsung Electro-Mechanics Co., Ltd. is enclosed, together with a Recordation Cover Sheet in accordance with 37 C.F.R. § 3.31 and our check including the amount of \$40.00 to cover the recordation fee. Please record this Assignment in accordance with 37 C.F.R. § 3.11.
- X 4. Priority of Application No. 2002-319057, filed in Japan on October 31, 2002, is claimed under 35 U.S.C. § 119. A certified copy of the priority document is attached.

COMPUTATION OF FEE

| | Number Filed | | Number Extra | | Rate | | |
|------------------------|-----------------|---|-----------------|---|------|---|-------|
| Basic Fee | | | | | | | 770 |
| Total Claims | 8 - 20 | = | 0 | x | 18 | = | 0 |
| Independent Claims | 1 - 3 | = | 0 | x | 86 | = | 0 |
| Assignment Recordation | | | | + | 40 | | 40 |
| TOTAL | | | | | | | \$810 |

- X 5. Our Check No. 151680 in the amount of \$810.00 to cover the total fee as computed above is enclosed.

LAW OFFICES OF
CHRISTENSEN O'CONNOR JOHNSON KINDNESS^{PLLC}
1420 Fifth Avenue
Suite 2800
Seattle, Washington 98101
206.682.8100

- X 6. The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.18 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 03-1740. This authorization also hereby includes a request for any extensions of time of the appropriate length required upon the filing of any reply during the entire prosecution of this application. A copy of this document is enclosed.
- X 7. The following additional documents are enclosed: Information Disclosure Statement with one reference.
- X 8. A filing date in accordance with 37 C.F.R. § 1.10 is requested. The Express Mail Certificate appears below.

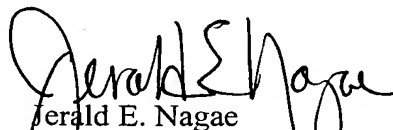
Please address all further correspondence to:

Customer No. 26389

CHRISTENSEN O'CONNOR JOHNSON KINDNESS^{PLLC}
1420 Fifth Avenue, Suite 2800
Seattle, WA 98101

Respectfully submitted,

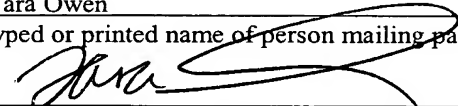
CHRISTENSEN O'CONNOR
JOHNSON KINDNESS^{PLLC}


Gerald E. Nagae
Registration No. 29,418
Direct Dial No. 206.695.1705

EXPRESS MAIL CERTIFICATE

Express Mail No.: EL 974021400 US
Date of Deposit: October 28, 2003

I hereby certify that this document and the enclosures listed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Tara Owen
(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

JEN:hjd

LAW OFFICES OF
CHRISTENSEN O'CONNOR JOHNSON KINDNESS^{PLLC}
1420 Fifth Avenue
Suite 2800
Seattle, Washington 98101
206.682.8100